

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
04995/107001

In Re Application Of: **Masanori KAWAI**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **DIGITAL BROADCAST RECEIVING AND RECORDING APPARATUS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
OR
 the fee set forth in 37 CFR 1.17(p).

22511
PATENT TRADEMARK OFFICE

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Masanori KAWAI

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Group Art Unit

Invention: **DIGITAL BROADCAST RECEIVING AND RECORDING APPARATUS**

I hereby certify that the following correspondence:

Information Disclosure Statement and PTO Form 1449*(Identify type of correspondence)*

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